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Example $\begin{array}{c} 1 \\ 2 \\ \mathcal{G} \\ \mathcal{G} \\ = \{(1,0), (1,0), (1,1), (-1,0), (-1,-1), (-1,-1)\} \end{array}$

Main question: given a model M, what is the nature of its generating function

$$F_{\mathcal{M}}(x, y, t) = \sum_{n=0}^{\infty} \sum_{i,j} f_{i,j,n} x^{i} y^{j} t^{n}$$

Is it algebraic? D-finite? Something else?

Introduction

A bit of history

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Fayolle, Iasnogorodski, Malyshev: *Random Walks in the Quarter-Plane* : aka the "Yellow book" Bousquet-Mélou and Mishna: *Walks with Small Steps in the Quarter Plane*

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• algebraic over $\mathbb{Q}(x, y, t)$ if there are polynomials $p_0, \ldots, p_n \in \mathbb{Q}[x, y, t]$ such that there is a nontrivial relation of the form

$$p_n F(x, y, t)^n + p_{n-1} F(x, y, t)^{n-1} + \cdots + p_0 = 0$$

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D-finite over Q(x, y, t) if the Q(x, y, t)-VS spanned by all partial derivatives of F(x, y, t) has finite dimension

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Why care about algebraicity and D-finiteness?

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D-finite GF non D-finite GF

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What's new?

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A classification of "interesting" D-finite and algebraic models with "small steps" in the quarter plane, with multiplicities.

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A classification of "interesting" D-finite and algebraic models with "small steps" in the quarter plane, with multiplicities. Main methods: group of the model, Gröbner basis techniques, kernel method, orbit sums, half orbit sums, *guessing*

Introduction

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There are 65,536 models whose steps have weights in $\{0,1,2,3\},$ and 30,307 are "interesting".

- (at least) 1457 of those are D-finite!
 - ◊ (at least) 79 of these are algebraic!

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Definition (The group of the model)

The group of the model $G_M = \langle \Phi, \Psi \rangle$, where

$$\Phi\colon (x,y)\mapsto \Big(\frac{1}{x}\frac{\sum_{v}a_{-1,v}y^{v}}{\sum_{v}a_{1,v}y^{v}}, y\Big), \Psi\colon (x,y)\mapsto \Big(x, \frac{1}{y}\frac{\sum_{u}a_{u,-1}x^{u}}{\sum_{u}a_{u,1}x^{u}}\Big)$$

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If
$$g \in G_M$$
, $g(K(x, y)) = K(x, y)$.
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If
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, $g(K(x, y)) = K(x, y)$.
Note that Φ and Ψ are involutions

Introduction

More about G_M

-Introduction

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$$G_M = \left\{ 1, \Psi \Phi, (\Psi \Phi)^2, \dots, (\Psi \Phi)^{n-1}, \\ \Psi, (\Psi \Phi) \Psi, (\Psi \Phi)^2 \Psi, \dots, (\Psi \Phi)^{n-1} \Psi \right\}$$

Introduction

More about G_M

$$egin{aligned} \mathcal{G}_{M} &= ig\{1,\Psi\Phi,(\Psi\Phi)^{2},\ldots,(\Psi\Phi)^{n-1},\ &\Psi,(\Psi\Phi)\Psi,(\Psi\Phi)^{2}\Psi,\ldots,(\Psi\Phi)^{n-1}\Psiig\} \end{aligned}$$

Since Φ, Ψ are involutions, *G* must be dihedral.

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Since Φ, Ψ are involutions, G must be dihedral. For $n \in \mathbb{N}$, D_{2n} appears if and only if $(\Psi \Phi)^n = 1$ and there is no d|n such that $(\Phi \Psi)^d = 1$

Introduction

Example: Gessel model with multiplicities



 $\mathcal{G} = \{(1,0), (1,0), (1,1), (-1,0), (-1,-1), (-1,-1)\}$

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$$\mathcal{G} = \{(1,0), (1,0), (1,1), (-1,0), (-1,-1), (-1,-1)\}$$

 $a_{1,0} = 2 = a_{-1,-1}, a_{1,1} = 1 = a_{-1,0}$

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Example: Gessel model with multiplicities



$$\mathcal{G} = \{(1,0), (1,0), (1,1), (-1,0), (-1,-1), (-1,-1)\}$$

$$a_{1,0} = 2 = a_{-1,-1}, a_{1,1} = 1 = a_{-1,0}$$

 $\mathcal{K}_{\mathcal{G}}(x, y) = 1 - t(2x + xy + x^{-1} + 2x^{-1}y^{-1})$

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Example: Gessel model with multiplicities



$$\mathcal{G} = \{(1,0), (1,0), (1,1), (-1,0), (-1,-1), (-1,-1)\}$$

$$\begin{aligned} &a_{1,0} = 2 = a_{-1,-1}, a_{1,1} = 1 = a_{-1,0} \\ &\mathcal{K}_{\mathcal{G}}(x,y) = 1 - t(2x + xy + x^{-1} + 2x^{-1}y^{-1}) \\ &\mathcal{G}_{\mathcal{G}} = \left\langle \left(\frac{1}{xy}, y\right), \left(x, \frac{2}{x^2y}\right) \right\rangle \cong D_8 \end{aligned}$$

How can we classify models?

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Idea: fix a group and find out which models have this group.

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Obtain a system S of nonlinear equations for the $a_{u,v}$. The points $(a_{-1,-1}, \ldots, a_{1,1}) \in \mathbb{C}^8$ satisfying this system form an algebraic variety V.

Task: Determine this variety for fixed n. Then prove that the resulting generating functions are D-finite.

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Find generators for the irreducible components of the radical $\sqrt{I(V)}$.

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Prove the resulting generating functions are D-finite:

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Determine the variety for a fixed n:

Find generators for the irreducible components of the radical $\sqrt{I(V)}$.

Use the generators to find relations among the $a_{u,v}$

Prove the resulting generating functions are D-finite:

Use the kernel, orbit sum, or half orbit sum method to prove D-finiteness of the generating function $F_M(x, y, z, t)$ for every M in the family.

└─ The Classification

D_4

-The Classification

D_4

Family 0 Defining equations: $a_{0,1}a_{1,-1} = a_{0,-1}a_{1,1}$,

$$a_{-1,1}a_{1,-1}=a_{-1,-1}a_{1,1}$$

$$a_{-1,1}a_{0,-1} = a_{-1,-1}a_{0,1}$$



-The Classification

 D_4

Family 0	
Defining equations:	
$a_{0,1}a_{1,-1}=a_{0,-1}a_{1,1}$,	$5 \xrightarrow{13}{5} 15$
$a_{-1,1}a_{1,-1}=a_{-1,-1}a_{1,1}$,	$-3 6^{-9}$
$a_{-1} a_{0} a_{-1} = a_{-1} a_{0} a_{0}$	-7

All models in Family 0 have a D-finite generating function.

- The Classification

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Defining equations:	
$a_{0,1}a_{1,-1}=a_{0,-1}a_{1,1}$,	
$a_{-1,1}a_{1,-1} = a_{-1,-1}a_{1,1}$,	$-3 \xrightarrow{-9}_{2} \xrightarrow{-9}_{6}$
$a_{-1,1}a_{0,-1} = a_{-1,-1}a_{0,1}$	-7

All models in Family 0 have a D-finite generating function. All models with group D4 belong to Family 0.

- The Classification

 D_4

Family 0 Defining equations: $a_{0,1}a_{1,-1} = a_{0,-1}a_{1,1},$ $a_{-1,1}a_{1,-1} = a_{-1,-1}a_{1,1},$ $a_{-1,1}a_{0,-1} = a_{-1,-1}a_{0,1}$ $5 \xrightarrow{13}_{-3} \xrightarrow{5}_{-9} \xrightarrow{-9}_{-7}$

All models in Family 0 have a D-finite generating function. All models with group D4 belong to Family 0. Note: $a_{0,1}a_{1,-1}$ (and other products) need not be integers, or

even rational numbers.

- The Classification

D_6

Family 1a Defining equations: $a_{1,1} = a_{-1,-1} = 0$, $\begin{array}{c} 3 \\ 5 \\ & 5 \end{array} \begin{array}{c} 1/5 \\ 1/3 \end{array}$ $a_{-1,1}a_{1,-1} = a_{-1,0}a_{1,0} = a_{0,1}a_{0,-1}$ Family 1b Defining equations: $a_{1,-1} = a_{-1,1} = 0$, $5 \xrightarrow{1}{3} \xrightarrow{1}{3}$ $a_{-1,0}a_{1,0} = a_{-1,-1}a_{1,1} = a_{0,-1}a_{0,1}$

- The Classification

D_6

Family 1a Defining equations: $a_{1,1} = a_{-1,-1} = 0$, $\begin{array}{c} 3 \\ 5 \\ & 5 \end{array} \begin{array}{c} 2 \\ 1/5 \\ 1/3 \end{array}$ $a_{-1,1}a_{1,-1} = a_{-1,0}a_{1,0} = a_{0,1}a_{0,-1}$ Family 1b Defining equations: $a_{1,-1} = a_{-1,1} = 0$, $\begin{array}{c} 5 \xleftarrow{1/3}{3} \xleftarrow{1/5}{1/5} \end{array}$ $a_{-1,0}a_{1,0} = a_{-1,-1}a_{1,1} = a_{0,-1}a_{0,1}$

Both families have D-finite generating functions.

- The Classification

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Both families have D-finite generating functions. Family 1b models actually have *algebraic* GFs!

- The Classification

D_6 (cont)

Family 2a Defining equations: $a_{1,0} = a_{1,1} = 0, a_{0,-1}a_{-1,1} = 2a_{0,1}a_{-1,-1}, a_{0,-1}a_{0,1} = 2a_{-1,1}a_{1,-1}$ $a_{0,-1}^7 = 4a_{1,-1}a_{-1,-1}, a_{0,-1}a_{0,1} = 2a_{-1,1}a_{1,-1}$ $a_{1,2}^7 = 1$

Family 2b Defining equations: $a_{1,0} = a_{1,-1} = 0, a_{0,1}a_{-1,-1} = 2a_{0,-1}a_{-1,1}, a_{0,1}a_{0,-1} = 2a_{-1,-1}a_{1,1}$ $a_{0,1}^{1 \ 2 \ 1} = 4a_{1,1}a_{-1,1}, a_{0,1}a_{0,-1} = 2a_{-1,-1}a_{1,1}$ $5 \bigvee_{7 \ 7}$

-The Classification

D_6 (cont)

Family 2a Defining equations: $a_{1,0} = a_{1,1} = 0, a_{0,-1}a_{-1,1} = 2a_{0,1}a_{-1,-1},$ $a_{0,-1}^2 = 4a_{1,-1}a_{-1,-1}, a_{0,-1}a_{0,1} = 2a_{-1,1}a_{1,-1}$ Family 2b Defining equations: $a_{1,0} = a_{1,-1} = 0, a_{0,1}a_{-1,-1} = 2a_{0,-1}a_{-1,1},$ $1 \ge 1$

$$a_{0,1}^2 = 4a_{1,1}a_{-1,1}, \ a_{0,1}a_{0,-1} = 2a_{-1,-1}a_{1,1}$$

Both families have D-finite generating functions.

- The Classification

D_6 (cont)

Family 2a Defining equations: $a_{1,0} = a_{1,1} = 0, a_{0,-1}a_{-1,1} = 2a_{0,1}a_{-1,-1}, a_{0,-1}a_{0,-1}a_{0,1} = 2a_{-1,1}a_{1,-1}$ Family 2b Defining equations: $a_{1,0} = a_{1,-1} = 0, a_{0,1}a_{-1,-1} = 2a_{0,-1}a_{-1,1}, a_{0,-1}a$

$$a_{0,1}^2 = 4a_{1,1}a_{-1,1}, a_{0,1}a_{0,-1} = 2a_{-1,-1}a_{1,1}$$

Both families have D-finite generating functions. Family 2b models have *algebraic* GFs.

- The Classification

D_6 (still cont)

Family 3a Defining equations: $a_{-1,0} = a_{-1,-1} = 0$, $a_{0,1}a_{1,-1} = 2a_{0,-1}a_{1,1}$, $a_{0,1}^2 = 4a_{-1,1}a_{1,1}$, $a_{0,1}a_{0,-1} = 2a_{1,-1}a_{-1,1}$ 7 7

Family 3b

Defining equations: 2 + 6 = 2 + 4 = 0

$$a_{-1,0} = a_{-1,1} = 0, \ a_{0,-1}a_{1,1} = 2a_{0,1}a_{1,-1}, a_{0,-1}^2 = 4a_{-1,-1}a_{1,-1}, \ a_{0,-1}a_{0,1} = 2a_{1,1}a_{-1,-1}$$

- The Classification

D_6 (still cont)



Again, all families have D-finite generating functions.

-The Classification

D_8

Family 4a Defining equations: $a_{1,-1}a_{-1,1} = a_{1,0}a_{-1,0},$ $a_{1,1} = a_{0,1} = a_{0,-1} = a_{-1,-1} = 0$ Family 4b Defining equations: $a_{1,1}a_{-1,-1} = a_{1,0}a_{-1,0},$ $a_{1,-1} = a_{0,1} = a_{0,-1} = a_{-1,1} = 0$ $a_{1,-1} = a_{0,1} = a_{0,-1} = a_{-1,1} = 0$

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Both families have D-finite generating functions.

- The Classification

 D_8

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Both families have D-finite generating functions. Family 4b models have *algebraic* GFs
└─ The Classification

D_{10}

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These models do not fit into any of the previous families.

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These models do not fit into any of the previous families. Their GFs are (probably*) algebraic.

- The Classification

What next?

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Finite group \iff D-finite generating function?

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Finite group ↔ D-finite generating function? This is true in the multiplicity 1 case. It seems that it is true here as well. Is there a combinatorial proof?

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Finite group \iff D-finite generating function? This is true in the multiplicity 1 case. It seems that it is true here as well. Is there a combinatorial proof? Are there models whose groups are larger than D_{10} ?

- The Classification

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Is it possible to do a similar classification in the 3d case?

└─ The Classification

What next?

Finite group \iff D-finite generating function?

This is true in the multiplicity 1 case. It seems that it is true here as well. Is there a combinatorial proof?

Are there models whose groups are larger than D_{10} ? We've done calculations for multiplicity 4 and 5 and found nothing bigger.

Is it possible to do a similar classification in the 3d case? Prove that the remaining 28,850 "interesting" cases have non-D-finite GFs.